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on May 30, 2001


Susanne Coppola

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT : Lalit Narayan and Dechu P. Muthana
SERIAL NO. : 09/766,714
DATE FILED : January 22, 2001
FOR : Securities Trading System and Related Method Using Security Pools

Commissioner for Patents
Box: Missing Parts
Washington, D.C. 20231

TRANSMITTAL OF SUBSTITUTE DRAWINGS

S I R :

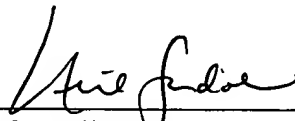
In response to the Notice of Incomplete Reply (Non-Provisional) dated May 23, 2001, enclosed herewith are four (4) sheets of drawings (Figs. 1-4) to be substituted for the drawings on file in the above-identified application. The information contained on the enclosed drawing sheets is substantively identical to the previous drawings.

The margins have been corrected, however, to comply with the regulations of the United States Patent and Trademark Office and those set forth on the present Notice. A copy of the Notice of Incomplete Reply (Non-Provisional) is enclosed for reference purposes.

Attorney for applicants hereby testifies that the enclosed drawing sheets were inadvertently forgotten when a reply was filed to the Notice to File Missing Parts of Non-Provisional Application dated April 27, 2001. The two month deadline for replying to the previous Notice expires June 27, 2001. A check in the amount of Sixty-Five Dollars (\$65.00) in payment of the late filing charge in accordance with the fees for small entity status was previously submitted.

Kindly charge any additional fees or credit any over-remittance to Deposit Account No. 04-0838. A duplicate of this transmittal sheet is enclosed for deposit account purposes.

Respectfully submitted,
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Dated: May 30, 2001



UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/766,714	01/22/2001	Lalit Narayan	B41-004

CONFIRMATION NO. 2043

FORMALITIES LETTER



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14th Floor
708 Third Avenue
New York, NY 10017

Date Mailed: 05/23/2001

NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on to the Notice mailed and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

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PART 2 - COPY TO BE RETURNED WITH RESPONSE